

# The Equivalence of the Model of McCallum and Wellner (2003) to Minimum Cut and Maximum Inter-Cluster Similarity

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## 1 Introduction

Likelihood Maximization of the binary indicator variables,  $\{y_{ij}\}$ , in the model of McCallum and Wellner (2003) can equivalently be viewed as (1) Minimum Cut and (2) Maximum Inter-Cluster Similarity.

## 2 Minimum Cut Equivalence

Let  $C$  be the set of edges involed in the “cut.” If  $C$  is empty, then the log-likelihood of the model is

$$\log P(C = \emptyset | \vec{x}) = \sum_{i,j,k} \lambda_k f_k(x_i, x_j, 1) - \log Z_x. \quad (1)$$

If  $C$  is not empty, we get

$$\log P(C | \vec{x}) = \sum_{i,j,k} \lambda_k f_k(x_i, x_j, 1) + \sum_{(i,j) \in C} \sum_k \lambda_k \left[ f_k(x_i, x_j, 0) - f_k(x_i, x_j, 1) \right] - \log Z_x. \quad (2)$$

The maximum likelihood objective maximizes this second quantity. However, we can subtract the likelihood with an empty cut without harm since it is constant. We get:

$$\max_C \log P(C | \vec{x}) \equiv \max_C \sum_{(i,j) \in C} \sum_k \lambda_k \left[ f_k(x_i, x_j, 0) - f_k(x_i, x_j, 1) \right]. \quad (3)$$

In other words, maximum likelihood is equivalent to finding the minimum cut on the graph with edge weights  $W_{ij} = \sum_k \lambda_k \left[ f_k(x_i, x_j, 1) - f_k(x_i, x_j, 0) \right]$ .

### 3 Maximum Inter-Cluster Similarity Equivalence

Similarly, we can show that maximum likelihood is equivalent to maximizing inter-cluster similarity on the graph with particular edge weights. Let  $E$  be the set of edges connecting objects within the same cluster; i.e. two objects are in the same cluster if and only if the edge between them,  $e$ , is in  $E$ . If  $E$  is empty, then the log-likelihood of the model is

$$\log P(E = \emptyset | \vec{x}) = \sum_{i,j,k} \lambda_k f_k(x_i, x_j, 0) - \log Z_x. \quad (4)$$

If  $E$  is not empty, we get

$$\log P(E | \vec{x}) = \sum_{i,j,k} \lambda_k f_k(x_i, x_j, 0) + \sum_{(i,j) \in E} \sum_k \lambda_k \left[ f_k(x_i, x_j, 1) - f_k(x_i, x_j, 0) \right] - \log Z_x. \quad (5)$$

The maximum likelihood objective maximizes this second quantity. However, we can subtract the likelihood of  $E = \emptyset$  without harm since it is constant. We get:

$$\max_E \log P(E | \vec{x}) \equiv \max_E \sum_{(i,j) \in E} \sum_k \lambda_k \left[ f_k(x_i, x_j, 1) - f_k(x_i, x_j, 0) \right]. \quad (6)$$

In other words, maximum likelihood is equivalent to maximum inter-cluster similarity on the graph with edge weights  $W_{ij} = \sum_k \lambda_k \left[ f_k(x_i, x_j, 1) - f_k(x_i, x_j, 0) \right]$ . Note that these are the same edge weights as we found for the Minimum Cut Equivalence.

### References

McCallum, A., & Wellner, B. (2003). Toward conditional models of identity uncertainty with application to proper noun coreference. *Proceedings of the IJCAI Workshop on Information Integration on the Web*.